

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/644,380

08/23/2000

Floyd H. Chilton

Vinson & Elkins LLP 2300 First City Tower 1001 Fannin Street Houston, TX 77002-6760



FORMALITIES LETTER OC000000005466558*

Date Mailed: 10/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$870.
 - \$558 for 31 total claims over 20.
 - \$312 for 4 independent claims over 3.
- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1690.

The following item(s) appear to have been **omitted** from the application:

- The following item(s) appear to have been **omitted** from the application:

 Figure(s) **fig 16** described in the specification.

 I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$120.00 potition for (37 CFR 1.17(ii)) with evidence of such deposit must be filed within TMO MONTHS of \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the PTO.

#3

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC

20231, on the date below:

SIPE

December 12, 2000

Date

rimothy S. Corder

DEC 1 8 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Floyd H. Chilton

•

Filed: August 23, 2000

Serial No.: 09/644,380

For: DIETARY CONTROL OF

ARACHIDONIC ACID METABOLISM

Group Art Unit: 1614

Examiner: Unknown

Atty. Dkt. No WAK200/4-9A8CIP

PATENT

RESPONSE TO 37 C.F.R. § 1.53(d) NOTICE

BOX MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(b), dated October 12, 2000, Assignee asserts that it is entitled to Small Entity Status by virtue of being a non-profit organization (Assignee is a university). Enclosed herewith for filing are the following:

(a) Declaration executed on behalf of Floyd H. Chilton;

(b) An Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney on behalf of

Wake Forest University;

(c) Our check in the amount of \$859.00 to cover the basic filing fee (\$355.00);

surcharge for late filing (\$65.00); additional claims fee (\$439.00); and

(d) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

An Assignment to Wake Forest University and a check for \$40.00 are being filed under

separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.

§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an

overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit

said fees from or to Vinson & Elkins L.L.P. Deposit Account No. 22-

0365/WAK200/4-9(A)8CIP.

Please date stamp and return the accompanying postcard to evidence receipt of these

documents.

Respectfully submitted,

Timothy S. Corder

Reg. No. 38,414

Agent for Applicants

Vinson & Elkins L.L.P. 2300 First City Tower 1001 Fannin Houston, Texas 77002-6760 512/495-8446

Date:

December 12, 2000



Writer's Phone: (512) 495-8446 Writer's Fax: (512) 236-3377

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December 12, 2000

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

December 12, 2000

Date

Timothy S. Corder

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Re:

Washington D.C. 20231

Assistant Commissioner for Patents

US SN 09/644,380 "Dietary Control of Arachidonic Acid Metabolism" - Floyd H. Chilton (WAK200/4-009(A)8CIP)

Dear Sir:

Enclosed for filing in the above-referenced patent application are the following:

- 1. Response to Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(b), including Declaration, Election under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney; and check in the amount of \$859.00 as the small entity filing fees; and
- 2. Duplicate of Notice to File Missing Parts of Non Provisional Application.

A Cover Sheet and Assignment to Wake Forest University and accompanying check for \$40.00 is being forwarded for recording under separate cover.

Please date stamp and return the attached postcard as evidence of receipt of these documents. Applicant believes that no additional fees are required in connection with these documents. Should the referenced check be missing or deficient, the Assistant Commissioner is hereby authorized to deduct the requisite fees from Vinson & Elkins deposit account no. 22-0365/WAK200/4-009(A)8.

Respectfully submitted,

Timothy S. Corder Reg. No. 38,414

9282:9311 Encl.

HOUSTON AUSTIN BEIJING DALLAS LONDON MOSCOW NEW YORK SINGAPORE WASHINGTON, D.C.